Vorticity recirculation and asymmetric generation at a small headland with broadband currents 2

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Key Points:

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7	- At a 1 km scale headland (Pt. Sal CA), depth averaged vorticity varied $\pm 8f$ and
8	was asymmetrically related to along-headland flow.
9	• Vorticity also depends on flow acceleration, indicating short (2 h) adjustment timescale,
10	recirculation, and headland generation.
11	• Estimated potential vorticity across the headland indicates asymmetric vorticity

generation stronger for northward flow.

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13 Abstract

Fixed ADCP velocity measurements are used to investigate headland vorticity genera-14 tion and recirculation in ~ 20 m depth around the small (~ 1 km) central California 15 headland Pt. Sal. To reduce vorticity estimation noise, velocities are reconstructed from 16 the first two EOF modes representing $\approx 73\%$ of the variance. Using fixed ADCPs, depth-17 averaged vorticity is estimated west and south of Pt. Sal. Only one west-location vor-18 ticity component is estimated, leading to negative vorticity bias for northward flow. The 19 south location vorticity is consistent with estimates from parallel vessel transects on one 20 day. The observed depth-averaged flow V was primarily along-bathymetric contours and 21 varied $\pm 0.2 \text{ m s}^{-1}$ across subtidal and tidal frequency bands. The depth-averaged nor-22 malized vorticity $\overline{\zeta}/f$ varied ± 8 across all frequency bands. Vorticity distributions are 23 skewed with opposite sign at west and south locations, and $\overline{\zeta}/f < -1$ is more likely at 24 the west location. At both locations, depth-averaged vorticity and velocity are inversely 25 related, with relationship asymmetric with sign of V, indicating headland and farther 26 upstream vorticity generation. Binned-mean $\overline{\zeta}/f$ depends on both V and its time-derivative, 27 and indicates vorticity recirculation across the headland. The ~ 2 h vorticity adjust-28 ment timescale and the associated short excursion distances indicate vorticity genera-29 tion between south and west locations. Potential vorticity changes across the headland 30 are different for positive and negative V indicating headland asymmetric vorticity gen-31 eration. Pt. Sal occupies a non-dimensional parameter space that is unique relative to 32 other well studied headlands. 33

³⁴ 1 Introduction

Steady and tidal (oscillating) flows past topographic features such as headlands and 35 islands lead to wake development and eddy shedding (e.g., Signell & Geyer, 1991; Canals 36 et al., 2009; MacKinnon et al., 2019), frontal development from flow separation (Farmer 37 et al., 2002), and internal lee wave generation (e.g., MacCready & Pawlak, 2001; Warner 38 & MacCready, 2014; Voet et al., 2020). Strong relative vorticity ζ was observed for head-39 land and island wakes with ζ/f of O(1-10) (f is the Coriolis parameter) over a range 40 of length-scales, from O(0.1-10 km) (e.g., Wolanski et al., 1984; Canals et al., 2009; 41 MacKinnon et al., 2019). Headland and island wakes can be important in the cross-shelf 42 transport of larvae, sediment, and other tracers (e.g., Roughan et al., 2005; George et 43 al., 2015). 44

Previous modeling studies of wakes generated by steady flow around headlands and islands have mainly focused on obstructions with length-scales L of O(10 km), such as Pts. Arena and Reyes (Gan & Allen, 2002). For such headland length-scales, modeled wakes can extend significant distances downstream relative to the length-scale of the headland or island (Gan & Allen, 2002; Dong et al., 2007). Neglecting barotropic or baro-

clinic tides, about one third of Southern California Bight modeled eddy activity was at-50 tributed to island wakes (Dong & McWilliams, 2007). Large scale ($L \sim 10$ km) features 51 and moderate flow rates $(U \sim 0.1 \text{ m s}^{-1})$ generally result in a small Rossby number Ro 52 (=U/fL) of O(0.1). Stratification affects headland wakes and is quantified by the Burger 53 number $Bu = (L_d/L)$, where the baroclinic deformation radius $L_d = Nh/f$ for water 54 depth h and buoyancy frequency $N = \sqrt{(-g/\rho_0)\rho_z}$, where ρ is density and z is the ver-55 tical coordinate). Vorticity generation increases with the Rossby number Ro and, for in-56 termediate Ro and Bu, decreases for increasing Bu (Castelao & Barth, 2006; Dong et 57 al., 2007). The Ro dependence implies that, for a fixed headland and stratification, headland-58 generated vertical vorticity magnitude $|\zeta|/f$ depends on |U| for steady flow but with vor-59 ticity and velocity having opposite signs as flow magnitude is reduced in shallower wa-60 ter where friction is larger. 61

Other headland wake studies have focused on tidal (*i.e.*, oscillatory) flow. For tidal-62 flow, vertical vorticity generation has been observed downstream of a headland (e.g., Geyer 63 & Signell, 1990). In a seminal paper, Signell & Geyer (1991) modeled unstratified tidal 64 flow past a symmetric (Gaussian) headland to study the vorticity generation and evo-65 lution. The curl of the quadratic bottom stress is key to vorticity generation and dis-66 sipation, with a bottom-friction decay scale $t_{\rm bf} = h/C_D U_0$ based on water depth h, drag 67 coefficient C_D , and tidal velocity U_0 . Vorticity evolution depended on three non-dimensional 68 parameters. The first nondimensional parameter is the headland aspect ratio (cross-shore 69 to alongshore extent). Second, the frictional Reynolds number $\operatorname{Re}_{f} = h/C_{D}L$ is the ra-70 tio between advection and quadratic bottom friction, and represents the vorticity decay 71 length-scale relative to the headland scale L. Third, the Keulegan-Carpenter number $K_c =$ 72 $U_0/\omega L$, where ω is the tidal frequency, is the ratio of tidal excursion amplitude to the 73 headland length-scale. Note, Signell & Geyer (1991) kept the tidal Rossby number U_0/fL 74 fixed, also likely an important parameter. A fourth non-dimensional parameter, based 75 on the others, is the ratio of frictional to tidal time-scale $\omega t_{\rm bf} = {\rm Re}_{\rm f}/K_c$, which mea-76 sures whether vorticity is short- $(\text{Re}_{f}/K_{c} \ll 1)$ or long-lived $(\text{Re}_{f}/K_{c} \gg 1)$ relative 77 to a tidal cycle. Note, $\operatorname{Re}_{f}/K_{c}$ also can be interpreted as the ratio of frictional to tidal 78 length-scales. For $\operatorname{Re}_{f}/K_{c} > 1$, the longer-lived vorticity can recirculate back across the 79 headland as the tidal cycle switches, a situation which is not possible for steady flows 80 (finite Ref and Ref $/K_c \ll 1$). Laboratory experiments of oscillating shallow water flow 81 past a cylinder have enumerated the rich wake behavior over a large range of Re_f and 82 K_c (e.g., Lloyd et al., 2001). Tidal headland wake eddies were studied on a beach-nourishment 83 generated (Stive et al., 2013) sandy headland with $L \sim 1000$ m, $h \sim 10$ m, and low 84 aspect ratio. In both observations and models, significant but unspecified vorticity was 85 generated every flood tide (Radermacher et al., 2017) with eddy intensity modulated by 86 the spring-neap cycle. These eddies were short-lived (i.e., less than a tidal cycle), sug-87 gesting $\operatorname{Re}_{\mathrm{f}}/K_c \leq 1$. 88

Baroclinic effects of tidal flows past $L \sim 1$ km headlands with large aspect ratio 89 in deep water ($h \approx 200$ m) have been studied at the largely symmetric Three Tree Point 90 (TTP, located in the Puget Sound) both with observations and models (Pawlak et al., 91 2003; Edwards et al., 2004; McCabe et al., 2006; Canals et al., 2009; Warner & MacCready, 92 2014). As with $L \sim 1$ km scale barotropic headland studies, observed TTP ζ/f is of-93 ten relatively large, of O(1). TTP vorticity is regularly tilted with respect to stratification (Canals et al., 2009) and short lived relative to the barotropic frictional decay scale 95 $t_{\rm bf}$, suggesting baroclinic mechanisms associated with tilted vorticity are significant in 96 eddy decay (Pawlak et al., 2003). The baroclinic Froude number $Fr = U_0/Nd$, with 97 N and obstruction height d, is an additional important nondimensional parameter rel-98 evant for both steady (e.g., Dong et al., 2007) and oscillating (e.g., MacCready & Pawlak, 99

2001) baroclinic wakes. For Fr \ll 1, flow travels around the obstacle, leading to flow 100 separation and potentially eddy formation. As Fr ≈ 1 , flow transitions to going over 101 the obstacle and can lead to lee wave generation (MacCready & Pawlak, 2001). As part 102 of the Flow Encountering Abrupt Topography (FLEAT) experiment, wake processes around 103 the island of Palau have been extensively studied (MacKinnon et al., 2019; Zeiden et al., 104 2019; Rudnick et al., 2019; Johnston et al., 2019; Merrifield et al., 2019; Voet et al., 2020). 105 The Palau bathymetry is deep with large aspect ratio, similar to TTP, but with larger 106 $(L \sim 10 \text{ km})$ "headland" scale. Regional currents have both tidal, near-inertial, and 107 108 lower frequency variability. Flows past the steep regional bathymetry can generate both nonlinear internal lee waves (Voet et al., 2020) and large-scale, high Ro vorticity, sug-109 gesting significant variability in Fr (Rudnick et al., 2019; MacKinnon et al., 2019; Zei-110 den et al., 2019). 111

Most locations cannot be neatly classified into pure steady or tidal flow, and in-112 stead have broadband flows, comprised of low frequency subtidal (time-scale > 33 h) 113 plus tidal (diurnal and semidiurnal) flows of comparable magnitude. Vorticity genera-114 tion with broadband flows is different from steady or oscillating flow alone (MacKinnon 115 et al., 2019). For example, under combined strong steady and weak tidal flow, a series 116 of same-signed vortices are likely generated and advected downstream. Aside from MacK-117 innon et al. (2019), most headland studies are for either oscillatory (tidal) or (quasi-) steady 118 flow. Whether a steady-flow type relationship between local velocity and vorticity ap-119 plies in broadband flows is unknown. For steady flows, headland flow response is differ-120 ent for symmetric and asymmetric obstacle (Castelao & Barth, 2006). Previously stud-121 ied headlands at $L \sim 1$ km scale are also either largely symmetric (TTP) or have small 122 aspect ratio (Zandmotor). Most modeling and laboratory studies use symmetric obsta-123 cles. In many cases, in particular on the US West Coast, headlands are asymmetric to 124 the along coast flow, which may result in vorticity generation that is asymmetric with flow 125 direction. These aspects of shallow small asymmetric headlands have not previously been 126 studied. Lastly, strong anticyclonic vorticity $\zeta/f < -1$ will be centrifugally unstable 127 (e.g., Hoskins, 1974) and has low probability in open ocean observations and models 128 (e.g., Shcherbina et al., 2013a). However, the likelihood of strong anticyclonic versus 129 cyclonic vorticity near a vorticity generating headland has not previously been studied. 130

Headland wake generation is naturally studied with vertical vorticity ζ . Whereas 131 vorticity is straightforwardly estimated from numerical model solutions of headland or 132 island wake flows (e.q., Signell & Geyer, 1991), it is challenging to estimate observation-133 ally. Headland estimated vorticity used either shipboard ADCP surveys (Geyer & Signell, 134 1990; Pawlak et al., 2003; Canals et al., 2009; MacKinnon et al., 2019) or drifters (Pawlak 135 et al., 2003). Yet, these studies were limited to measurements over, at most, a few semid-136 iurnal cycles. To study headland vorticity generation with broadbanded currents, long 137 vorticity timeseries that include subtidal and spring-neap tidal variability are required. 138 This requires vorticity time-series estimated from fixed current meters, which has not 139 previously been reported. Such longer-term vorticity observations at a headland under 140 broadband currents can address the questions above and others such as: Is vorticity gen-141 erated at a headland or is it advected from farther upstream? How much recirculation 142 of vorticity occurs as along-headland flow switches sign? 143

Here, we address these headland vorticity related questions with a two-month (fall 2017) time-series of vorticity estimated at two locations across Pt. Sal, a small O(1 km)asymmetric headland with O(1) aspect ratio located on the central California coast (Fig. 1), during the Inner-shelf Dynamics Experiment (Kumar et al., 2020). This region often has a headland wake as illustrated with a long-wave infrared (LWIR) surface temper-

ature and ADCP-measured flow (e.g., Figure 1) with cool water (blue/green colors) stream-149 ing off Pt. Sal to the south-west and curves to the south-east. This wake orientation is 150 consistent with the observed depth-averaged currents (black arrows). Headland vortic-151 ity generation and recirculation are studied statistically with vorticity estimated from 152 fixed ADCP observations at two locations west and south of Pt. Sal. The study site, ve-153 locity filtering methods, and vorticity estimation technique are described in section 2. 154 Statistical analysis of depth-averaged velocity and vorticity at the west and south loca-155 tions in isolation and comparison with vessel-based vorticity are given in section 3. In 156 157 section 4, the local vorticity and velocity relationship is examined in regards to vorticity generation and recirculation. Potential vorticity in a steady flow paradigm is used 158 to examine asymmetric headland vorticity generation (section 5). In the discussion, the 159 relationship between vorticity recirculation and generation is examined (section 6.1) and 160 Pt. Sal is placed in (dimensional and non-dimensional) context relative to other head-161

lands (section 6.2). Section 7 is a summary.



Figure 1. Long-wave infrared (LWIR) map of surface temperature around Pt. Sal, CA from the airborne Modular Aerial Sensing System (MASS, Melville et al., 2016). An Easting (x)and Northing (y) coordinate system is defined with origin at the tip of Pt. Sal (34.9030°N, 120.6721°W). Blue colors are cooler and red colors are warmer. Snapshot taken over a 3-minute window (11-Sep-2017, 10:41-10:44 PDT), overlaid with depth-averaged ADCP velocities (black arrows) which are time-averaged over same duration. The solid and dashed lines represent the 15, 20, and 25 m depth contours. (Inset) Map of Pt. Sal in the context of Pt. Conception.

¹⁶³ 2 Data and Methods

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2.1 Experiment and regional description

In the fall of 2017, multiple institutions participated in the Inner Shelf Dynamics 165 Experiment funded by an Office of Naval Research Departmental Research Initiative (ISDE) 166 (Lerczak et al., 2019; Kumar et al., 2020). The experiment consisted of observations span-167 ning 50 km alongshore on the Central CA coast, centered on the asymmetric rocky head-168 land Pt. Sal (Fig. 1), over Sept to Oct 2017. An Easting (x) and Northing (y) coordi-169 nate system is defined with origin (x, y) = (0, 0) m at the tip of Pt. Sal (34.9030°N, 120.6721°W). 170 Northward of the point, the coastline is relatively straight, sandy beach interrupted with 171 another small symmetric headland 3 km to the north. At Pt. Sal, the coast is rocky and 172 the coastline bends approximately 120° . To the west of Pt. Sal, bathymetry contours are 173 relatively compressed close to the point with several shoals and outcrops within 500 m 174 west of the point, evidenced by cold water stream off of them (Fig. 1). From Pt. Sal, the 175 rocky coastline extends eastward for 2.5 km before bending to the south where bathymetry 176 contours are farther from shore and slopes are less steep. 177

Pt. Sal is located in an upwelling region, and the subtidal large-scale flow is pri-178 marily southward with episodic northward warm-water flow due to wind relaxation events, 179 common during the fall months (Melton et al., 2009; Washburn et al., 2011; Suanda et 180 al., 2016; Aristizábal et al., 2017). In addition, barotropic tides drive oscillating currents 181 at Pt. Sal. Furthermore, semidiurnal nonlinear internal waves (NLIWs) regularly prop-182 agate into Pt. Sal (Colosi et al., 2018; Kumar et al., 2019; Feddersen et al., 2020), adding 183 complexity. During the experiment, a broad array of 173 moorings and bottom landers 184 were deployed from 100 m to 9 m depth along the 50 km stretch of coastline with many 185 ADCPs, thermistors, and wave buoys in conjunction with multiple coastal high-frequency 186 radars and meteorological stations (Kumar et al., 2020). In addition, two week-long in-187 tensive operations periods (IOPs) were conducted, one in mid-September (IOP1) and 188 the other in mid-October (IOP2) with multiple vessels and aircraft sampling concurrently. 189 Here, we only present a small subset of the experiment data that are focused on Pt. Sal. 190 Additional information and studies related to the Inner Shelf Dynamics experiment are 191 Lerczak et al. (2019); Spydell et al. (2019); McSweeney et al. (2020); Feddersen et al. (2020); 192 Kumar et al. (2020). 193

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2.2 Pt. Sal, CA moored and fixed location observations

Here we focus on an array of fixed location (bottom mounted, upward looking) AD-195 CPs (Fig. 1, red squares) and thermistor moorings deployed (not shown) near Pt. Sal 196 from September 1, 2017 through October 19, 2017 in water depths ranging from 13.5 to 197 25.0 m. This subset of locations was chosen for their high spatial resolution within a few 198 km of the tip of Pt. Sal. Each thermistor mooring had 7–11 RBRsolo T thermistors with 199 1.5, 2, or 3 m vertical spacing (shallow moorings had higher vertical resolution) and a 200 near bead RBR soloD pressure sensor. RBR soloT's have 0.002°C accuracy, RBR soloD's 201 have 0.01 m accuracy, and both sampled at 1 Hz. Bottom mounted, upward-looking AD-202 CPs measuring profiles of eastward and northward velocity (u, v) were co-located with 203 a subset of the thermistor moorings. Here, z is the vertical coordinate positive upward 204 and z = 0 m is the deployment time-averaged mean sea surface. 205

Most fixed location ADCPs were either 600 kHz or 1 MHz Nortek Aquadopp with vertical bin width Δz of 0.5—1 m. Two ADCPs were five-beam Nortek Signature1000 with $\Delta z = 0.5$ m. All ADCPs also had a pressure sensor used to estimate the tidal seasurface. ADCP velocity data within 2 m of the tidal sea surface or with low amplitudes

or correlations are removed. The lowest ADCP $\Delta z = 1$ m bin varies from 1 m to 2.6 m 210 above the seabed, depending on bin size and blanking distance. The uppermost ADCP 211 bin varies from z = -3 m to z = -4 m (relative to the mean sea level) due to the ± 1 m 212 tidal range, the large (≈ 2.5 m at times) surface gravity waves, and side-lobe interfer-213 ence. All moored thermistor and ADCP data were averaged to a 1 minute sample in-214 terval and time-aligned from 13:00PDT 6-September to 06:00PDT 15-October, and here-215 after this time period is denoted the analysis period. For fluctuating flows, a low-pass 216 time filter acts as a spatial filter at time-scales less than the dominant tidal velocity time-217 218 scale (Lumley & Terray, 1983a). Thus, to reduce aliasing of short length-scale (high horizontal wavenumber) variability in the vorticity calculations, moored ADCP velocities 219 are low-pass filtered with a 2 h cutoff. For reference, this gives a 720 m cutoff length-220 scale for a steady 0.1 m s^{-1} current. Velocity data are then interpolated onto fixed ver-221 tical z levels at $\Delta z = 1$ m intervals, where z = 0 m is the mean tidal water level. This 222 allows estimation of horizontal velocity gradients at a particular z level. 223



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Figure 2. Complex empirical orthogonal function (cEOF) decomposition of (u, v) ADCP velocities at (x, y) = (-705, -725) m: (a) Mean horizontal velocities $(\langle u \rangle, \langle v \rangle)$ as a function of distance below mean sea level z. (b) Structure of cEOFs mode 1 (ϕ_1 , blue) and mode 2 (ϕ_2 , red) versus z, (c) first cEOF $|A_1|$ and (d) phase $(\tan^{-1}[\Im(A_1)/\Re(A_1)])$ time series over the analysis period. Mode 1 and mode 2 capture 52% and 21% of velocity variance, respectively.

To further reduce aliasing of short length-scale velocity variability that potentially alias vorticity, the 2-h low-pass filtered ADCP velocities are additionally smoothed by reconstructing velocities from a complex empirical orthogonal function (cEOF) decomposition (*e.g.*, Kundu & Allen, 1976; Kumar et al., 2015). At each moored ADCP, the 2-h low-pass filtered velocities are decomposed into time-mean ($\langle u \rangle, \langle v \rangle$, where $\langle \rangle$ denotes a time average over the analysis period) and fluctuating (u', v') components (*i.e.*, u = $\langle u \rangle + u'$). The cEOF decomposition is performed on the complex fluctuating velocity,

$$\psi(z,t) = u'(z,t) + i v'(z,t), \tag{1}$$

where i = $\sqrt{-1}$. The complex velocity ψ is decomposed into a set of orthogonal modes

$$\psi(z,t) = \sum_{n=1}^{N} \phi_n(z) A_n(t),$$
(2)

where $\phi_n(z)$ is the *n*-th eigenvector (EOF) of the Hermitian covariance matrix of ψ and A_n(t) is the amplitude time series of mode *n*. Both $\phi_n(z)$ and A_n(t) are complex-valued variables consisting of information related to both u'(z,t) and v'(z,t).

An example cEOF decomposition on a 600 kHz Nortek Aquadopp ADCP located 237 south-west of Pt. Sal at (x, y) = (-705, -725) m and mean depth h = 25 m is shown 238 in Figure 2. The northward time-averaged current $\langle v \rangle$ is negative (southward) and sur-239 face intensified near 0.12 m s⁻¹ and approximately zero near the bed (Fig. 2a, solid). The 240 eastward time-averaged current $\langle u \rangle$ is weak ($\approx 0.03 \text{ m s}^{-1}$) and offshore (onshore) in the 241 upper (lower) water column (Fig 2b, dashed). The cEOF mode n = 1 velocity struc-242 ture explains 52% of the variance and is mostly barotropic (unidirectional and weakly 243 depth varying) with near-surface velocities veering roughly 45° counterclockwise (blue, 244 Time-series analysis indicates that the mode n = 1 amplitude magnitude Fig. 2b). 245 $|A_1|$ is dominated by subtidal and tidal band variability as seen in Fig. 2c. The $A_1(t)$ 246 phase varies bimodally indicating primarily NW to SE flow. The cEOF mode n = 2247 explains 21% of the variance and has vertical structure that changes sign mid-depth, qual-248 itatively consistent with the first baroclinic mode (red, Fig. 2b). Time-series analysis re-249 veals that the mode n = 2 amplitude A_2 (not shown) has more high-frequency vari-250 ability equally split between super-tidal (> 2.2 cpd) and lower-frequencies (< 2.2 cpd). 251 For cEOF modes $n \ge 3$ (not shown), the variance percentage captured diminishes quickly, 252 the modes are comprised of high vertical wavenumber variability, and the correspond-253 ing amplitudes are dominated by supertidal > 2.2 cpd variability. 254

This cEOF decomposition framework is applied to the ADCPs deployed near Pt. Sal. At each of these ADCPs, a smoothed velocity is reconstructed using only the first two cEOF modes, *e.g.*,

$$u(z,t) = \langle u \rangle + \Re \left[\sum_{n=1}^{2} \phi_n(z) A_n(t) \right]$$
(3a)

$$v(z,t) = \langle v \rangle + \Im \left[\sum_{n=1}^{2} \phi_n(z) A_n(t) \right]$$
(3b)

where \Re and \Im indicate the real and imaginary components, respectively. This reconstructed velocity captures $73\% \pm 5\%$ of the variance at the 9 ADCPs presented in Fig. 4. Hereafter, u(z,t) and v(z,t) represent the 2-h low-pass filtered and cEOF (3) reconstructed ADCP velocities and will be used in subsequent analysis. Excluding higher cEOF modes removes high frequency (higher horizontal wavenumber) and high vertical wavenumber noise, giving a smoothed velocity signal for estimating vorticity.

At each ADCP, depth-averaged velocities (denoted with capitals, *i.e.*, [U(t), V(t)]) 264 are calculated by vertically averaging (u(z,t), v(z,t)) velocity over the vertical range of 265 valid observations for the entire analysis period. For example, the vertical range for the 266 ADCP in Fig. 2 is z = -21 m to z = -4 m. No extrapolation to the free surface or 267 the bed is performed as it relies on assumptions regarding the surface and bottom bound-268 ary layer which may bias the depth-average. Depth-averaged velocity variance major 269 270 and minor axis and orientation are calculated (e.g., Emery & Thomson, 2001) from an eigenvalue decomposition of the (U, V) velocity variance and covariances (e.g. $\langle U'^2 \rangle, \langle U'V' \rangle$) 271 yielding principal axis angle θ_p , major axis U_{maj}^2 and minor axis U_{min}^2 variances, allow-272 ing for plotting of velocity standard deviation ellipses (analogous to tidal ellipses). The 273



Figure 3. Map of mooring- and vessel-based vorticity estimation locations near Pt. Sal as a function of x and y with local bathymetry. West vorticity ζ_W (gray star) is estimated from west ADCPs (gray squares). South vorticity ζ_S (green star) is estimated at centroid of south ADCP triangle (green squares). For reference, a pair of simultaneous parallel vessel tracks for the R/V Sally Ann (colored diamonds) and R/V Sounder (colored circles) are shown. Colors represent vessel transect near-surface temperature (z = -1.5 m) from CTD observations. Data shown are from September 13, 2017, 10:54-11:19 PDT (later denoted as transect 3) and are representative of all transects. For each vessel transect, vessel-based vorticity is estimated at black "x"s (100 m separation) using vessel ADCP observations within the 250 m radius circles (gray).

variances (e.g. $\langle U'^2 \rangle$) include variability over subtidal, tidal, and supertidal frequency bands. Velocity and vorticity variables are decomposed using the PL64 filter (Limeburner et al., 1985) into subtidal (< 0.73 cpd), diurnal (0.73–1.5 cpd), semi-diurnal (1.5–2.2 cpd) and supertidal (2.4 cpd to 12 cpd) frequency band components. Statistics (means and standard deviations) are calculated for each of these frequency band components.

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2.2.2 Fixed ADCP vorticity estimation

Estimating ocean vertical vorticity $\zeta = v_x - u_y$ is inherently difficult as two-dimensional 280 spatial differences of noisy velocity observations are required. Vorticity has been esti-281 mated via many methods, including drifters (e.g., Pawlak et al., 2003; Ohlmann et al., 282 2017; Spydell et al., 2019), radar (e.g., Kirincich, 2016), gliders (e.g., Zeiden et al., 2019), 283 284 and vessels transects (e.g., Geyer & Signell, 1990; Rudnick, 2001; Pawlak et al., 2003; Shcherbina et al., 2013b; MacKinnon et al., 2019). To reduce noise in the estimated spa-285 tial velocity derivatives from fixed-ADCPs motivates the use of the 2-h filtered and cEOF 286 reconstructed ADCP velocity time-series. Using the moored ADCP velocities (3), ver-287

tical vorticity ζ is estimated at two locations near Pt. Sal (Fig. 3, green and gray stars). Vorticity at the "south" location $\zeta_{\rm S}(z,t)$ ([x,y] = [-187, -1003] m), is estimated with three ADCPs in a triangular configuration (green squares in Fig. 3) via plane fit to the 2-h low pass and cEOF reconstructed moored ADCP (u, v) velocities. Specifically, (u, v) are fit to the functions

$$u_i(z,t) = u_{\rm S} + \frac{\partial u}{\partial x}(x_i - x_{\rm S}) + \frac{\partial u}{\partial y}(y_i - y_{\rm S}), \tag{4a}$$

$$v_i(z,t) = v_{\rm S} + \frac{\partial v}{\partial x}(x_i - x_{\rm S}) + \frac{\partial v}{\partial y}(y_i - y_{\rm S}),\tag{4b}$$

where i represents the ADCP number, (x_S, y_S) is the centroid of the "south" triangle, 293 (u_S, v_S) are centroid fit velocities, $\partial(u, v)/\partial x$ and $\partial(u, v)/\partial y$ are the fit velocity gradi-294 ents (e.g., Molinari & Kirwan, 1975). The base and height of the triangle (or its edges) 295 are 464 m and 842 m. This fit is performed for the vertical levels z = -18 m to z =296 -4 m which are present at all 3 ADCPs. This plane fitting method assumes (u, v) vary 297 linearly in both x and y between the mooring locations. With three ADCPs, the fit is 298 exact and any ADCP noise or small-scale (u, v) variability will alias noise into the es-299 timated velocity gradient. The use of the 2-h low pass filtering and the cEOF velocity 300 reconstruction reduces the ADCP noise and small-scale spatial (u, v) variability, result-301 ing in reduced estimated velocity gradient noise. The velocity gradients calculated from 302 plane fitting should be interpreted as being constrained to scales on the order of the ADCP 303 separation (≈ 1 km) and longer, as shorter scale vorticity variability is not resolved. From 304 the resulting fit parameters, "south" vorticity at the centroid location (green star, Fig. 3) 305 is estimated as 306

$$\zeta_{\rm S}(z,t) = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}.$$
(5)

At the location just west of Pt. Sal, "west" vorticity $\zeta_W(z,t)$ ([x,y] = [-585, 165] m) 307 is estimated from two ADCPs in a line extending west off of the point (Fig. 3, gray star 308 and squares). With only two locations, the plane fit method (5) cannot be used and only 309 a single vorticity component is estimated analogous to single underway ADCP transects 310 (e.g., Rudnick, 2001; Zeiden et al., 2019). Here, the 2-h low-pass filtered and cEOF re-311 constructed velocities are rotated into an "alongshore" coordinate system (\tilde{u}, \tilde{v}) that is 312 4.91° east of north, an average of the two ADCP principal axes (Fig. 4). At every 1 m 313 from z = -15 to -4 m where both moorings always had valid data, "west" vorticity 314 $\zeta_W(z,t)$ is estimated as the cross-shore gradient ($\Delta \tilde{x} = 276$ m) of rotated alongshore 315 velocity between the two moorings (*i.e.*, $\partial \tilde{v} / \partial \tilde{x}$), neglecting the $\partial \tilde{u} / \partial \tilde{y}$ term. This as-316 sumption is likely reasonable as the upstream velocity is locally alongshore uniform (*i.e.*, $\partial \tilde{u}/\partial \tilde{y} \approx$ 317 0). This also assumes that alongshore velocity varies linearly with \tilde{x} constraining the scale 318 of vorticity to the ≈ 300 m ADCP separation scale. However, for northward flow, $\partial \tilde{u} / \partial \tilde{y}$ 319 could be significant due to flow separation and recirculation west and north of Pt. Sal, 320 resulting in an incomplete ζ_W estimate. For analysis purposes, the west vorticity ζ_W es-321 timate is used for both southward and northward flow conditions. The potential bias in 322 $\zeta_{\rm W}$ for southward and northward flow is discussed in Appendix A. 323

In addition to vorticity, the centroid velocities at the south $(i.e., (u_S, v_S)$ in Eq. 4) and west (u_W, v_W) locations are depth averaged over the z-range where the ADCPs used in the fit had valid data and rotated into their principal axes directions (44.3° west of north and 4.9° east of north). These principal axes are nearly the average of the individual moored ADCP principal axes (Figure 4). The depth-averaged principal axes alongshore velocities are denoted as V_S and V_W , respectively, and will be used in subsequent analysis.

2.3 Vessel-based observations and vorticity estimates

Here, coordinated vessel-based parallel transects from SIO's R/V Sally Ann and 332 UW-APL's R/V Sounder from IOP1 on 13 September were used to estimate both vor-333 ticity components as in Shcherbina et al. (2013b). Both vessels performed tow-yo CTD 334 casts using RBR Concerto CTDs sampling at 6 Hz (Sally Ann) or 12 Hz (Sounder) with 335 an accuracy of 0.002°C. Data from each cast are filtered with half-power cutoff of 0.25 m 336 and vertically gridded to 0.1 m resolution. Each vessel also was equipped with a pole-337 mounted, downward-looking TeleDyne RDI WorkHorse ADCP capable of bottom track-338 ing. R/V Sally Ann had a RDI 300 kHz ADCP with 1 m vertical bins and 1 s sampling 339 intervals while R/V Sounder had a RDI 1200 kHz ADCP with 1 m bins and 3 s sam-340 pling intervals. Note the 18 m profiling range is within the RDI 1200 kHz ADCP man-341 ufacturer's upper limit. ADCP data for both vessels were averaged down to 1 minute. 342 During transects the R/V Sally Ann and R/V Sounder vessel speeds were approximately 343 1 m s^{-1} and 1.7 m s^{-1} , respectively. This yielded average ADCP spatial resolution of 62 m 344 and 102 m, respectively and average CTD cast spatial resolution of 65 m and 89 m, re-345 spectively. 346

On 13 September, three repeated west to east parallel transects were conducted 347 south of Pt. Sal by R/Vs Sally Ann and Sounder, intersecting the triangle used to cal-348 culate $\zeta_{\rm S}$ (Figure 3). These parallel transects occurred during southward flow in the lee 349 of Pt. Sal and were north-south separated by approximately 250–300 m (Figure 3). The 350 10:54–11:19 PDT transect provides an example of the surface temperature structure in 351 the lee of Pt. Sal. Near-surface temperature varied from warm (near 18°C) farther off-352 shore to cold (near 16°C) within the bay on both transects (Figure 3). A $\Delta T \approx 1^{\circ}$ C 353 temperature front is evident between -500 < x < 0 m, near the south vorticity cen-354 troid location (green star in Figure 3). Vessel-based temperature transects are estimated 355 by averaging CTD temperature between the R/V Sally Ann and Sounder. If one ves-356 sel is missing data, the average is not calculated. As CTD casts were not full water-column 357 depth, temperature is estimated over the upper 2/3 of the water column. 358

Vessel-based vorticity $\zeta_{\rm V}(x,z)$ is calculated at the center of the two parallel tran-359 sects from $-1000 \le x \le 1000$ m at 100 m intervals (see "x" in Figure 3) At each "x" 360 location, all vessel-based (u, v) data that fall within a search circle of radius R = 250 m 361 are used to least-squares plane-fit velocity gradients (*i.e.*, Eq. 4) at particular z levels. 362 Velocity gradients are estimated from $-20.5 \le z \le -2.5$ m at 1 m intervals. Best-fits 363 are removed when circles have fewer than 5 data points or when observations are timeseparated by more than 15 min, to minimize aliasing from temporal and spatial misalign-365 ment in vessel sampling. Vorticity at each "x" is then estimated from the best-fit veloc-366 ity gradients, which then provides a spatial map of $\zeta_{\rm V}(x,z)$ for each transect. This pro-367 cess is repeated for the three parallel transects conducted on this day. 368

³⁶⁹ 3 Spatial structure and temporal variability of vorticity estimates

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3.1 Fixed-location depth-averaged velocity statistics near Pt. Sal

Before examining vorticity, we first examine the depth-averaged (barotropic) velocity statistics within a few km of Pt. Sal (Figure 4) to provide context about the barotropic flow around the headland. Depth-averaged velocity means and standard deviation ellipses (analogous to tidal ellipses) are calculated over the analysis period (13:00PDT 6-Sept to 06:00PDT 15-Oct) and standard deviations include variability over subtidal, tidal, and supertidal frequency bands. For y > 0 m (west and north of Pt. Sal), the time-



Figure 4. Fixed-location ADCP depth-averaged velocity time-means (arrow) and standard deviation ellipses (black ellipse) as a function of x and y near Pt. Sal. Ellipses have major and minor axes as U_{maj} and U_{min} and orientation angle θ_p , and are analogous to tidal ellipses but include all frequency bands. Time-averaging is over the analysis period (13:00PDT 6-Sept to 06:00PDT 15-Oct). Solid contours are 10 and 20 m isobaths with dashed contours denoting 5 m intervals. White denotes regions without bathymetry data.

mean (over the analysis period) and depth-averaged velocities are largely southward and 377 along-isobath with magnitudes of 0.03–0.05 m s⁻¹ (arrows in Figure 4). For y < 0 m 378 (south of Pt. Sal), the time-mean depth-averaged velocities are weaker $(0.01-0.02 \text{ m s}^{-1})$ 379 with variable directions. Over all ADCPs the principal (major) axis velocity standard 380 deviation (*i.e.*, U_{maj}) varies between 0.09–0.14 m s⁻¹ (ellipses in Figure 4), substantially 381 larger than the mean flow, and is largely oriented along-isobath. Depth-averaged cur-382 rent variability is strongly polarized with minor to major axis standard deviation U_{\min}/U_{\max} 383 between 0.2–0.3. The depth-averaged current variability is roughly comprised of equal 384 subtidal and tidal (diurnal and semidiurnal) variability. 385



Figure 5. Time-mean (solid) and standard deviation (dashed) of normalized vorticity ζ/f versus depth z at the (a) west ζ_W and (b) south ζ_S locations (Figure 3). (c) South vorticity ζ_S EOF modes 1 and 2 versus z, representing 64% and 27% of variability, respectively. Statistics are calculated over the analysis period (13:00PDT 6-Sept to 06:00PDT 15-Oct).

The relative orientation of the velocity standard deviation ellipses reveals aspects 386 relevant to vorticity (Figure 4). For example, near the small headland (Mussel Rock, $y \approx$ 387 3000 m), southward flow velocities are generally stronger closer to shore likely due to the 388 very rough bathymetry (denoted rocky outcrop in Colosi et al., 2018) enhancing drag 389 near the offshore ADCP. In contrast, just west of Pt. Sal (red squares west of Pt. Sal, 390 Figure 4), southward flow velocities are generally weaker in shallower water. South of 391 Pt. Sal, velocity ellipses rotate to the southeast quasi-following bathymetry contours. The 392 ADCPs used for south vorticity ($\zeta_{\rm S}$) all have different ellipse orientations demonstrat-393 ing presence of non-zero depth-averaged vorticity. 394

395 3.2 Fixed-location vorticity

Here, we examine the vertical structure of the two fixed-location vorticity estimates 396 $(\zeta_{\rm W} \text{ and } \zeta_{\rm S})$ and subsequently the time-variability of the depth-averaged vorticity in the 397 context of barotropic tide and depth-averaged along-headland velocity. The time means 398 and standard deviations (over the analysis period, 13:00PDT 6-Sept to 06:00PDT 15-399 Oct) of $\zeta_{\rm W}$ and $\zeta_{\rm S}$ vertical structure are shown in (Figure 5a,b). For all analyses, vor-400 ticity is normalized by the local inertial frequency $f = 8.34 \times 10^{-5} \text{ s}^{-1}$. At the west-401 ern location (Figure 5a), time-mean vorticity $\langle \zeta_W \rangle / f$ is near zero throughout the wa-402 ter column with largely vertically uniform standard deviations of approximately ± 3 . At 403 the southern location (Figure 5b), mean vorticity $\langle \zeta_{\rm S} \rangle / f$ increases with z, from near-zero 404 close to the bed to 1.6 f near-surface. The $\zeta_{\rm S}$ standard deviation (std) is slightly weaker 405 near ≈ 2.25 and slightly more depth uniform than $\zeta_{\rm W}$. Note, the west location vortic-406 ity statistics are potentially biased for northward flow (Appendix A). 407

Although the vertical structure of vorticity variability is largely depth uniform (Figure 5a,b), the vertical coherence of said variability is examined with a vertical EOF de-



Figure 6. Time-series of (a) tidal elevation η , (b) depth-averaged centroid principal axes alongshore velocity V, and (c) normalized depth-averaged vorticity $\bar{\zeta}/f$. In (b,c), gray and green correspond to west and south locations, respectively. In panels (b,c), The correlation between $V_{\rm S}$ and $V_{\rm W}$ is r = 0.86 and between $\bar{\zeta}_{\rm S}/f$ and $\bar{\zeta}_{\rm W}/f$ is r = 0.55.

composition on both $\zeta_{\rm S}$ and $\zeta_{\rm W}$. The 1st EOF mode for $\zeta_{\rm S}$ (black line) is strongly barotropic 410 and captures 64% of the vorticity variance whereas the 2nd EOF mode (red line) has a 411 mode-1 baroclinic structure accounting for 27% of variance (Figure 5c). Both EOF modes 412 1 and 2 for ζ_W are similar to those for ζ_S in both vertical structure (not shown) and vari-413 ance fraction (65% and 27%, respectively). The vertically smooth ζ/f means and low 414 mode dominance of ζ/f variability indicates that the vorticity estimation method us-415 ing 2-h filtered and cEOF reconstructed velocities (Section 2.2.1) is not noise contam-416 inated due to aliasing of short scale variability associated with internal warm bores or 417 solitons (Colosi et al., 2018; McSweeney et al., 2020) that likely have contributions at 418 cEOF mode 2. If noise were significant, one would expect small-scale vertical variation 419 in the statistics due to estimation error. That the temporal ζ variability is largely depth-420 uniform also indicates that the depth-averaged vorticity can be used to study the vor-421 ticity kinematics and dynamics. Here, the depth-averaged vorticity is denoted with an 422 overbar (*i.e.*, $\overline{\zeta}_S$) and as with depth-averaged V is the average over the vertical range 423 where vorticity could be estimated. Subsequent analyses are conducted with depth-averaged, 424 normalized vorticity $\overline{\zeta}/f$. 425

⁴²⁶ The time-series of tidal elevation η , depth-averaged centroid principal-axes along-⁴²⁷ shore velocity ($V_{\rm S}, V_{\rm W}$), and depth-averaged vorticity ($\bar{\zeta}_{\rm S}/f, \bar{\zeta}_{\rm W}/f$) are used to to exam-⁴²⁸ ine the time-scales of variability of each and the similarities and differences between the ⁴²⁹ west and south locations. Recall, that velocity and vorticity variables are decomposed ⁴³⁰ into subtidal, diurnal, semidiurnal, and supertidal components from which statistics are



Figure 7. Histogram of south $\bar{\zeta}_{\rm S}/f$ (green) and west $\bar{\zeta}_{\rm W}/f$ (gray) normalized vorticity. The vertical dashed line represents $\bar{\zeta}/f = -1$ or zero potential vorticity. The vorticity $\bar{\zeta}_{\rm S}/f$ skewness is 0.88, significantly elevated over $\bar{\zeta}_{\rm W}/f$ skewness of -0.19. Note that $\bar{\zeta}_{\rm S}/f < -1$ and $\bar{\zeta}_{\rm W}/f < -1$ (*i.e.*, left of the dashed line) for 19% and 31% of the time, respectively.

calculated (Section 2.2.1). The analysis period (13:00PDT 6-Sept to 06:00PDT 15-Oct) 431 spanned nearly 3 spring-neap tidal cycles (Figure 6a), with spring tides of ± 1 m and neap 432 tides of ± 0.5 m. The depth-averaged $V_{\rm S}$ and $V_{\rm W}$ vary largely from ± 0.2 m s⁻¹ with (semid-433 iurnal and diurnal) tidal and subtidal variability (Figure 6b). At the west location, the 434 time mean flow is southward ($\langle V_{\rm W} \rangle = -0.06 \text{ m s}^{-1}$), but near-zero at the south loca-435 tion ($\langle V_{\rm S} \rangle = 0.01 \text{ m s}^{-1}$). The $V_{\rm S}$ and $V_{\rm W}$ have similar std ($\approx 0.12 \text{ m s}^{-1}$) and are well 436 correlated (r = 0.86) across the tidal and subtidal time-scales. At the south location, 437 subtidal variability is dominant with velocity amplitude (not std) of 0.13 m s^{-1} . Semid-438 iurnal variability is second largest with spring neap velocity amplitude varies from 0.03-439 0.1 m s⁻¹. The west location is similar. The depth-averaged $\bar{\zeta}_{\rm S}/f$ and $\bar{\zeta}_{\rm W}/f$ vary ± 8 with 440 subtidal, tidal, and more high-frequency variability than V (Figure 6c). The time mean 441 south vorticity $\langle \bar{\zeta}_{\rm S}/f \rangle = 0.7$ is elevated relative to the west vorticity $\langle \bar{\zeta}_{\rm W}/f \rangle = 0.2$. 442 In contrast, the west location std($\bar{\zeta}_{\rm W}/f$) = 2.5 is elevated compared to std($\bar{\zeta}_{\rm S}/f$) = 443 2.1, consistent with the vorticity standard deviations over the vertical (Figure 5a,b). Rel-444 ative to velocity, the elevated high frequency vorticity variability is expected as the higher 445 horizontal wavenumbers of vorticity correspond to higher frequencies in steady and os-446 cillatory flows (Lumley & Terray, 1983b). The west and south vorticity is less correlated 447 (r = 0.55) than for V, which may be due to vorticity generation between the two lo-448 cations or west-location vorticity bias (Appendix A). 449

Histograms of south $(\bar{\zeta}_{\rm S}/f)$ and west $(\bar{\zeta}_{\rm W}/f)$ vorticity reveal differences between 450 the two locations (Figure 7). The $\bar{\zeta}_{\rm S}/f$ distribution is highly skewed around the mean 451 $\langle \bar{\zeta}_{\rm S}/f \rangle = 0.7$, with much higher probability of large positive than negative $\bar{\zeta}_{\rm S}/f$ (green 452 curve in Figure 7). The skewness $\langle (\bar{\zeta}'_S/f)^3 \rangle / \langle (\bar{\zeta}'_S/f)^2 \rangle^{3/2} = 0.88$ is strongly positive 453 and only infrequently (19%) is the south location potential vorticity negative (i.e., $\zeta_S/f <$ 454 455 -1, left of dashed line in Figure 7). Such strong positive skewness is qualitatively consistent with Gulf Stream vorticity observations on similar spatial scales (Shcherbina et 456 al., 2013a). In contrast, the $\overline{\zeta}_W/f$ distribution (gray curve in Figure 7) is much more 457 symmetric around mean $\langle \bar{\zeta}_W/f \rangle = 0.2$, with smaller and opposite signed skewness of 458

-0.19. The west location potential vorticity is negative ($\bar{\zeta}_W/f < -1$) 31% of the time, 459 much more frequently than for ζ_S/f . Velocity skewness at V_S is 0.22 and for V_W is -0.11, 460 much less pronounced than vorticity skewness but with similar signs. The differences in 461 the vorticity distributions are also evident in the time-series (Figure 6c). Away from 462 boundaries and surface or bottom forcing, strong anticyclonic flows $(\bar{\zeta}/f < -1)$ are un-463 stable (e.q., Hoskins, 1974) and are thus less likely. For example, Shcherbina et al. (2013a) 464 observe Gulf Stream near-surface $\zeta/f < -1$ about 5% of the time. In contrast, the en-465 hanced likelihood of $\zeta/f < -1$ at the west (31%) and south (19%) locations indicates 466 strong vorticity generation effects. This will be examined further in Section 5. As dis-467 cussed in Appendix A, northward flow may bias the negative $\overline{\zeta}_{W}/f$ magnitude low. 468



Figure 8. Normalized vessel-based vorticity ζ_V/f (color) sections as a function of x and z with overlaid vessel-averaged averaged temperature T (solid black lines) for transects (a) 1 (08:50-9:14), (b) 2 (09:53-10:18), and (c) 3 (10:54-11:19). The x location of ζ_S is indicated by the vertical dashed line. Bathymetry is shown in gray. The 'x's above each panel represent locations where vorticity is estimated, and red 'x's represent locations within the triangle used to estimate $\overline{\zeta_S}/f$.

3.3 Vessel-based vorticity

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Next, we examine vorticity variability at short time-scales (few hours) and relatively 470 short length-scales (100–1000 m) using three vessel-based vorticity and temperature tran-471 sects (Section 2.3) shown in Figure 8. Recall, these vessel observations are based on 1-472 min averages (60–100 m spatial scales) and are essentially snapshots relative to the 2-473 h low-pass filtered and cEOF reconstructed fixed ADCP observations. In transect 1 (08:50-474 09:14, Figure 8a), near surface $(z > -5 \text{ m}) \zeta_V/f$ is largely positive near +2 for x < 0475 500 m with a 400 m-wide elevated patch $\zeta_V/f \approx 8$ near x = 0 m. In an intermediate 476 layer $(-12 < z < -5 \text{ m}), \zeta_V/f \approx -2$ is largely negative and surface outcrops for x >477 478 500 m, associated with near-surface colder water (e.g., Figure 3) and an isotherm trough at $x \approx 500$ m. In the region for x < 200 m, the stratification is relatively weak with 479 a roughly $\Delta z = 6$ m separation between the 15°C and 17°C isotherms. Near bed (z < 480 -12 m), $\zeta_V/f \approx 2$ is largely positive. 481



Figure 9. (a) Time-series of 13-Sept south-location hourly depth-averaged vorticity $\bar{\zeta}_{\rm S}/f$ (green line) and concurrent vessel transect-averaged vorticity $\bar{\zeta}_{\rm V}/f$ (blue circles). Transectaveraged $\bar{\zeta}_{\rm V}/f$ is vertically averaged from near-bed to z = -4.5 m and cross-shore averaged from x = -800 m to 200 m. The blue circle is located at the transect mid-point time and the circle width represents the transect duration. Vertical bars on circles are the standard deviation of $\zeta_{\rm V}/f$ over the averaging region. (b) Hourly tidal elevation η_S (black, solid) and (c) depthaveraged principal axis velocity $V_{\rm S}$ (black, dashed) 13-Sept time series from the southern vorticity estimate location.

With later transects, vorticity mostly increases and the 15° C and 17° C isotherms 482 tilt downward and upward onshore, respectively (Figure 8b,c). In the near-surface (z >483 -5 m) of transect 2 (09:53–10:18, Figure 8b), two strong positive ζ_V/f patches are present. 484 The $x \approx 0$ m patch from transect 1 has become larger with maximum $\zeta_V / f \approx 8$, and 485 a second patch near x = -700 m is evident at $\zeta_V / f \approx 5$. Small negative ζ_V / f is seen 486 near-surface for x > 500 m and subsurface near x = -200 m. The isotherm tilting 487 has increased upper-water column stratification at x = -500 m. In transect 3 (10:54– 488 11:19, 8c), ζ_V/f continues to increase and is positive almost everywhere for x < 300 m. 489 The near-surface $\zeta_V/f \approx 8$ patch at $x \approx 0$ m is much larger, but near-surface weak 490 negative ζ_V/f persists onshore x > 500 m. The tilting of the 15°C and 17°C isotherms 491 has increased, further increasing the upper-water column stratification near x = -500 m. 492

3.4 Inter-comparison between fixed- and vessel-based vorticity

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Here, we inter-compare the fixed- $(\bar{\zeta}_{\rm S}/f)$ and vessel-based $(\bar{\zeta}_{\rm V}/f)$ vorticity estimates 494 (Figure 9). For each vessel transect, a mean (depth- and cross-shore averaged) vortic-495 ity $\bar{\zeta}_V/f$ is estimated from the transect $\zeta_V(x,z)$ (Figure 8) by vertically averaging from the near-bed to z = -4.5 m and cross-shore averaging from x = -800 m to x = 200 m 497 (indicated with red 'x' in Figure 8). The vertical and horizontal averaging ranges are cho-498 sen to be consistent with the depth coverage and horizontal scale of the fixed ADCPs 499 used to estimate $\bar{\zeta}_{\rm S}/f$ (Figure 3). The standard deviation of $\zeta_{\rm V}/f$ is also similarly es-500 timated. The time of the transect-averaged $\bar{\zeta}_V/f$ is the median time of the x = -800501 to x = 200 m portion of the transect. No additional filtering of ζ_V/f is performed, con-502 trasting with the 2-h low-pass filtered and cEOF reconstructed fixed ADCP velocities. 503

Over the 24 h of 13 Sept, the fixed $\overline{\zeta}_{\rm S}/f$ varied quasi-diurnally from roughly -2504 to 3 (Figure 9a). The barotropic tide was mixed diurnal and semi-diurnal with about 505 1.2 m range (Figure 9b). The depth-averaged south velocity $V_{\rm S}$ was largely negative with 506 semidiurnal fluctuations between -0.1 to 0 m s^{-1} (Figure 9c). During the transect time 507 period (08:50–11:19), $\bar{\zeta}_{\rm S}/f$ increased quasi linearly from near 0 to 2, as the tide under-508 went an ebb to flood transition. The time period of the transects corresponded to max-509 imal southward flow $V_{\rm S} = -0.1 \text{ m s}^{-1}$ with a transition from weak negative to positive 510 acceleration. 511

The three discrete vessel vorticity $\bar{\zeta}_V/f$ estimates are similar to $\bar{\zeta}_S/f$ with same 512 increasing vorticity trend and the fixed $\bar{\zeta}_{\rm S}/f$ are always within < 0.4 standard devia-513 tions of $\overline{\zeta}_{\rm V}/f$ (Figure 9a). This suggests that $\overline{\zeta}_{\rm V}/f$ is biased high relative to $\overline{\zeta}_{\rm S}/f$ with 514 average error of ≈ 0.5 , but that otherwise these vorticity estimates are robust. The el-515 evated $\bar{\zeta}_V/f$ bias may be because the vessel vorticity $\zeta_V(x,z)$ is estimated on smaller length-516 scales (*i.e.*, a search radius of 250 m), whereas $\bar{\zeta}_{\rm S}/f$ is estimated over a scale of ≈ 1000 m 517 using time- and vertical smoothed velocities (Section 2.2.1). Thus, $\zeta_{\rm V}(x,z)$ contains more 518 high horizontal wavenumber variability (for example, see the 400 m wide $\zeta_V/f > 5$ patch 519 in Figure 8b). Alternatively, negatively biased $\overline{\zeta}_{\rm S}/f$ (relative to $\zeta_{\rm V}/f$) may result from 520 weak near bottom vorticity (-18 < z < -14 m, Figure 5b), whereas ζ_V is on average 521 estimated only to $z \approx -15$ m (Figure 8). Overall, the $\bar{\zeta}_{\rm S}/f$ and $\bar{\zeta}_{\rm V}/f$ similarity indi-522 cates robust depth-averaged vorticity estimates and provides confidence in subsequent 523 analysis using $\bar{\zeta}_{\rm S}/f$. 524

⁵²⁵ 4 Local Vorticity and Velocity Relationship

Based on steady flow Rossby number dependence (e.g., Castelao & Barth, 2006; 526 Dong et al., 2007), the near-headland vorticity is expected to be negatively related to 527 the along-headland flow. For time-dependent (reversing) flows, vorticity can also recir-528 culate around a headland (e.g., Signell & Geyer, 1991). Here, we examine the hourly 529 $\zeta_{\rm S}/f$ and $\zeta_{\rm W}/f$ vorticity dependence on the local major-axis depth-average velocity (V_S 530 and V_W) and its time-derivative at both south and west locations (Figure 10). At the 531 south location, $\bar{\zeta}_{\rm S}/f$ is generally positive for southward flow (V_S < 0), and negative for 532 northward flow $(V_{\rm S} > 0)$ with squared correlation $r^2 = 0.42$ (Figure 10a). This negative-533 signed vorticity-velocity relationship is expected in a steady flow paradigm. The binned-534 mean $\bar{\zeta}_{\rm S}/f$ and $V_{\rm S}$ relationship is tighter $(r^2 = 0.94)$, and highlights an asymmetry in 535 slope that depends on the $V_{\rm S}$ sign. For $V_{\rm S} < 0$ (*i.e.*, $\bar{\zeta}_{\rm S}/f$ in the lee of Pt. Sal) the re-536 sulting $\bar{\zeta}_{\rm S}/f$ magnitude (*i.e.*, ≈ 3.8 for $V_{\rm S} = -0.2 \,\mathrm{m\,s^{-1}}$) is larger than for $V_{\rm S} > 0$ 537 when located upstream of Pt. Sal (*i.e.*, $\bar{\zeta}_{\rm S}/f \approx -1.5$ for $V_{\rm S} = 0.2 \,\mathrm{m\,s^{-1}}$). This asym-538 metry is consistent with vorticity generation at the headland or farther upstream. The 539



Figure 10. Depth-averaged vorticity versus local depth-averaged principal axis velocity for (a) south location $\bar{\zeta}_S/f$ versus V_S and (b) west location $\bar{\zeta}_W/f$ versus V_W . Light gray dots are hourly data. Green and gray dots are bin-averaged into 2.5 cm s⁻¹ bins, with bin standard deviation indicated by vertical black lines. Bins have a minimum of 15 data points.

scatter in the hourly data (light gray dots and binned-mean std) range from 0.7–2, suggesting other, non-steady processes are also occurring.

At the west location, a similar negative-signed relationship between hourly $\bar{\zeta}_{\rm W}/f$ 542 and $V_{\rm W}$ is observed, albeit with lower $r^2 = 0.29$ (Figure 10b). The binned-mean $\bar{\zeta}_{\rm W}/f$ 543 and $V_{\rm W}$ squared correlations ($r^2 = 0.88$) is also tighter. A $\bar{\zeta}_{\rm W}/f$ and $V_{\rm W}$ slope asym-544 metry also is evident that depends on the $V_{\rm W}$ sign. However, the west location asym-545 metry is opposite that of the south location. For both locations at a particular |V|, the 546 $|\bar{\zeta}/f|$ is largest when located in the lee of Pt. Sal. This is again consistent with upstream 547 or headland vorticity generation. The ζ/f and V slope in the lee is 1.5× stronger for the 548 west versus the south location (Figure 10), despite the missing $\partial \tilde{u}/\partial \tilde{y}$ in the estimated 549 $\bar{\zeta}_{\rm W}/f$ (Section 2.2.2). For $V_{\rm W} > 0$, the $\bar{\zeta}_{\rm W}/f$ is likely even more negative (Appendix 550 A). The $\bar{\zeta}_{\rm W}/f$ and $V_{\rm W}$ scatter is larger than at the south location (binned standard de-551 viations are larger and range from 1.5-2.6) without a $V_{\rm W}$ dependence. 552

The $\bar{\zeta}/f$ (non-dimensional) and V (unit m s⁻¹) relationship (Figure 10) is not di-553 mensionally consistent, and so cannot be generalized to other headlands. However, the 554 $\overline{\zeta}/f$ and V relationship can help understand the length-scales of the headland wake vor-555 ticity. For example, $V_{\rm S} = -0.2 \text{ m s}^{-1}$ on average corresponds to $\bar{\zeta}_{\rm S}/f = 3.8$. With a 556 vorticity scaling as $V/L_{\rm v}$ this implies a wake vorticity length-scale of $L_{\rm v} = 630$ m, qual-557 itatively consistent with Figure 1 and the assumed $L \sim 1$ km headland scale. At the 558 west location, binned-mean $\bar{\zeta}_{\rm W}/f = 3.5$ for $V_{\rm W} = -0.12 \,\mathrm{m\,s^{-1}}$, resulting in a some-559 what shorter length-scale $L_{\rm v} = 410$ m. Where Pt. Sal sits in non-dimensional param-560 eter space will be explored in the Discussion. 561

At the south and west locations, the bin-averaged ζ/f and V relationship (Figure 10) indicates a consistency with steady flow concepts as well as headland or farther upstream vorticity generation. However, the scatter in the relationship suggests that the time-varying (oscillatory) nature of the flow may also play an important role in vorticity evolution.



Figure 11. Bin-meaned $\bar{\zeta}/f$ (colored) as a function of the depth-averaged principal axis velocity V and acceleration $\partial V/\partial t$ at (a) south location and (b) west location. Bins with fewer than three data points are removed. In (a) dashed line shows a zero-vorticity slope (6) of $\alpha = 1/7200 \text{ s}^{-1}$ and in (b) the dashed line has $\alpha = 1/3600 \text{ s}^{-1}$ and $\alpha = 1/7200 \text{ s}^{-1}$ in the upper-right and lower-left quadrants, respectively. In (a,b), the solid and dotted ellipses show the clockwise orbital paths in V_S and $\partial V_S/\partial t$ phase space of a semi-diurnal (SD, 12.42 h period) and subtidal (ST, 72 h period) periodic flow (11) with amplitude of 0.12 m s⁻¹.

At both south and west locations, the binned-mean $\bar{\zeta}/f$ depends strongly on both V and 566 local acceleration $\partial V/\partial t$ (Figure 11). Note that time-varying flow moves clockwise in V 567 and $\partial V/\partial t$ phase space in Figure 11 and has to cross $\partial V/\partial t = 0$ for V to have an ex-568 trema. Considering first the south location and times of weak acceleration $\partial V_{\rm S}/\partial t \approx$ 569 0, binned-averaged $\bar{\zeta}_{\rm S}/f$ is related to $-V_{\rm S}$, consistent with Figure 10a. In a pure steady 570 flow paradigm, $V_{\rm S} \approx 0$ should give $\bar{\zeta}_{\rm S}/f \approx 0$. However, for $V_{\rm S} \approx 0$, binned-averaged 571 $\overline{\zeta}_{\rm S}/f$ is largely proportional to $\partial V_{\rm S}/\partial t$. For example, with $V_{\rm S} \approx 0$, $\overline{\zeta}_{\rm S}/f \approx 2$ for posi-572 tive $\partial V_{\rm S}/\partial t = 1.5 \times 10^{-5} \text{ m s}^{-2}$ indicating that the previously generated positive vor-573 ticity from earlier southward flow $(V_{\rm S} < 0)$ is still present. Moving through phase space, 574 as $V_{\rm S}$ becomes positive and as $\partial V_{\rm S}/\partial t > 0$ continues, $\bar{\zeta}_{\rm S}/f$ remains positive as previ-575 ously generated positive $\zeta_{\rm S}/f$ is advected back northward (upper right quadrant, Fig-576 ure 11a), suggesting vorticity is recirculating across the headland. Later, as positive (north-577 ward) $V_{\rm S}$ strengthens and $\partial V_{\rm S}/\partial t$ weakens, bin-average $\zeta_{\rm S}/f$ undergoes a sign transition 578 and becomes negative. This $\bar{\zeta}_S/f$ sign transition (*i.e.*, $\bar{\zeta}_S/f = 0$) occurs on the zero vor-579 ticity slope 580

$$\alpha = \frac{\partial V_{\rm S} / \partial t}{V_{\rm S}} \tag{6}$$

of $\alpha \approx 1/7200 \text{ s}^{-1}$ (dashed line in Figure 11a) suggesting a vorticity adjustment time-581 scale of ≈ 2 h (Section 6.1). As V_S goes from positive to negative and $\partial V_S/\partial t < 0$ (lower 582 left quadrant, Figure 11a), a similar $\overline{\zeta}_{\rm S}/f$ sign transition occurs, with similar zero vor-583 ticity slope α , indicating a symmetric response with V_S sign change. South location vor-584 ticity recirculation is evident for $|\partial V_{\rm S}/\partial t| > 0.3 \times 10^{-5} \text{ m s}^{-2}$. These observations demon-585 strate that previously generated $\bar{\zeta}/f$ can be advected back across the headland before 586 significant vorticity generation can take place. This is consistent with oscillatory wake 587 flow concepts and modeled tidal headland eddies of Signell & Geyer (1991). 588

At the west location, the relationship of $\bar{\zeta}_{\rm W}/f$ to $V_{\rm W}$ and $\partial V_{\rm W}/\partial t$ is qualitatively 589 similar to the south location, with clear time-varying flow effects (Figure 11b). Comparing the south and west location, $V_{\rm W}$ is more often negative than $V_{\rm S}$ and $\bar{\zeta}_{\rm W}/f$ is more 591 strongly negative whereas $\zeta_{\rm S}/f$ is more strongly positive, consistent with Figures 6 and 10. 592 As noted previously, for $V_{\rm W} > 0$, the negative $\bar{\zeta}_{\rm W}/f$ may be biased to low magnitudes 593 (Appendix A). West location vorticity recirculation is not as clear as at the south loca-594 tion but is clearly evident for $\partial V_W / \partial t < -10^{-5} \text{ m s}^{-2}$. The zero vorticity slopes (6) 595 are different as $V_{\rm W}$ changes sign with positive $\partial V_{\rm W}/\partial t$ versus negative $\partial V_{\rm W}/\partial t$ (com-596 pare upper-right to lower-left quadrants, respectively, in Figure 11b). As $V_{\rm W}$ becomes 597 positive with positive $\partial V_W/\partial t$, the zero-vorticity slope is approximately $\alpha \approx 1/3600 \text{ s}^{-1}$ 598 (upper right dashed line in Figure 11b), about twice as steep as for the south location. 599 As $V_{\rm W}$ becomes negative with negative $\partial V_{\rm W}/\partial t$, the zero-vorticity slope $\alpha \approx 1/7200 \ {\rm s}^{-1}$ 600 (lower left dashed line in Figure 11b), similar to the south location. This implies an asym-601 metric $\zeta_{\rm W}/f$ response to $V_{\rm W}$ changing sign, with a much faster transition from south-602 ward to northward flow (upper right quadrant, Figure 11b) than from northward to south-603 ward flow (lower left quadrant, Figure 11b). This suggests asymmetry of vorticity gen-604 eration processes with different sign of mean flow at the west location, in particular, that 605 negative vorticity may be rapidly generated as flow switches to northward. 606

5 Asymmetric Vorticity Generation at the Headland

The local vorticity-velocity relationship (Figure 10) suggests vorticity generation at the headland or farther upstream. Here, headland vorticity generation is inferred from estimates of the potential vorticity change across the headland (west and south locations).



Figure 12. Potential Vorticity (PV) at the south (PV_S) location versus west (PV_W) location with symbols colored by the west and south averaged principal axes velocity $\langle V \rangle$ (10). Observations are limited quasi-steady conditions with $|\langle V \rangle| > 0.08 \text{ m s}^{-1}$ and $|\partial \langle V \rangle / \partial t| < 10^{-5} \text{ m s}^{-2}$, yielding 343 out of 928 hourly data points. Blue and red diamonds highlight data points where $\langle V \rangle \leq -0.2 \text{ m s}^{-1}$ (43 data points) and $\langle V \rangle \geq 0.16 \text{ m s}^{-1}$ (25 data points). Note, four data points lie outside the axes limits.

611 Potential vorticity PV is defined as

$$PV = \frac{\bar{\zeta}/f + 1}{h},\tag{7}$$

and estimated at south and west locations with $h_{\rm S} = 22.5$ m and $h_{\rm W} = 19.0$ m. In an inviscid and homogeneous shallow water system, PV is conserved,

$$\frac{D(\mathrm{PV})}{Dt} = 0. \tag{8}$$

In a quasi-steady flow (*i.e.*, ∂_t (PV) is small) and assuming that the west and south locations are upon the same streamline with uniform velocity, (8) simplifies to

$$PV_{S} = PV_{W}.$$
(9)

With bottom friction, potential vorticity can be generated, and deviations from (9) be-

tween upstream and downstream locations can be interpreted as headland PV genera-

tion, under the above assumptions. Here, we address potential vorticity generation at the Pt. Sal headland by comparing PV_W to PV_S as a function of south and west location averaged principal axes velocity $\langle V \rangle$ defined as

$$\langle V \rangle = \frac{1}{2} (V_{\rm S} + V_{\rm W}). \tag{10}$$

As the analysis of PV generation assumes quasi-steady conditions, we limit observations to times when $|\partial \langle V \rangle / \partial t| < 10^{-5} \text{ m s}^{-2}$ and $|\langle V \rangle| > 0.08 \text{ m s}^{-1}$ based on Figure 11. Recall that $V_{\rm S}$ and $V_{\rm W}$ were highly correlated with r = 0.86 (Figure 6b). The results below are not dependent on the chosen $\langle V \rangle$ and $\partial \langle V \rangle / \partial t$ cutoffs.

The relationship between PV_S and PV_W as a function of $\langle V \rangle$ is shown in Figure 12. 625 For southward flow $\langle V \rangle < -0.08 \text{ m s}^{-1}$, both PV_W and PV_S are generally both posi-626 tive and increase with more negative $\langle V \rangle$ (blue colors in Figure 12), although occasion-627 ally PV at one or both locations is also negative. When both PV_S and PV_W are pos-628 itive with $\langle V \rangle < -0.08 \text{ m s}^{-1}$, no clear trend above or below the 1:1 line is evident and 629 a best-fit to those data yield a slope slightly < 1. However, for the strongest southward 630 flow $\langle V \rangle < -0.2 \text{ m s}^{-1}$, nearly all 43 data points have $PV_S > PV_W$ by a factor of $1.5 \times$ 631 to $2 \times$ (diamonds in Figure 12). Under the above assumptions (steady and on a stream-632 line), this suggests that headland vorticity (PV) generation is weak for relatively weak 633 southward flow (and implies vorticity generation upstream of the west location), but sig-634 nificant PV generation occurs for stronger southward flow. 635

For northward flow $\langle V \rangle > 0.08 \text{ m s}^{-1}$ (red colors in Figure 12), PV generation is 636 clearly indicated under the above assumptions. At the upstream (south) location for $\langle V \rangle >$ 637 0.08 m s^{-1} , PV_S is generally small within $\pm 0.1 \text{ (m s)}^{-1}$ with a near-zero mean. Over-638 all, $PV_S < 0$ is uncommon (see also the uncommon $\overline{\zeta}_S/f < -1$ in Figure 7). Most of 639 the corresponding PV_W are negative, varying between -0.3 and $0 \ (m s)^{-1}$ and are sub-640 stantially more negative than PV_S. For larger $\langle V \rangle > 0.16 \text{ m s}^{-1}$ (red diamonds in Fig-641 ure 12), PV_W is generally more negative (mean of -0.14 (m s)^{-1}) whereas $PV_S \approx 0$. 642 This suggest that for northward flow, on average, substantial PV is generated at the head-643 land. Note that for northward flow, the negative $\zeta_{\rm W}$ is likely biased to low magnitudes 644 (Appendix A) and that $\overline{\zeta}_W$ and thus PV_W is likely even more negative. The difference 645 between northward and southward flow suggests asymmetry in headland vorticity gen-646 eration. 647

648 6 Discussion

6.1 Phase space of different flow time-scales

Steady flow concepts indicate strong vorticity generation for northward flow and 650 weak vorticity generation only for stronger southward flow. For realistic time-dependent 651 flows, Pt. Sal vorticity depends upon V and $\partial V/\partial t$ (Figure 11), consistent with pure pe-652 riodic flow concepts. At Pt. Sal, the depth-averaged principal axes V is composed of semi-653 diurnal, diurnal, and subtidal (> 33 h) flow time-scales that move through V and $\partial V/\partial t$ 654 phase space. Here, lower and higher frequency flows movement through $(V, \partial V/\partial t)$ phase 655 space (ellipses in Figure 11) in relation to vorticity recirculation and generation is ex-656 amined using a periodic velocity 657

$$V(t) = V_0 \cos(\omega t),\tag{11}$$

for semidiurnal (12.42 h period, ω_{sd}) or a subtidal (72 h period, ω_{st}) radian frequencies corresponding to the dominant variability of V (Figure 6b). The subtidal radian fre-

⁶⁴⁹

quency corresponding to 72 h is chosen as a representative subtidal frequency. The semidiurnal and subtidal velocity amplitude both are assigned $V_0 \approx 0.12 \text{ m s}^{-1}$ correspond-

ing to the semidiurnal spring tide amplitudes and subtidal velocity amplitude (std times

⁶⁶³ $\sqrt{2}$). For periodic flow, the vorticity adjustment time-scale t_{α} is the time to go from V =⁶⁶⁴ 0 to crossing the zero-vorticity ($\bar{\zeta}/f = 0$) slope $\alpha = \partial V/\partial t/V$ defined as,

$$t_{\alpha} = \omega^{-1} \cot^{-1} \left(\frac{\alpha}{\omega}\right),\tag{12}$$

and for $\alpha/\omega \ll 1$, $t_{\alpha} \to \alpha^{-1}$. The advective recirculation distance L_{α} over the vorticity adjustment time-scale t_{α} is approximately,

$$L_{\alpha} = V_0 \omega^{-1} \left[1 - \cos(\omega t_{\alpha}) \right]. \tag{13}$$

For subtidal (72 h) flow, the phase space ellipse is eccentric with relatively weak 667 accelerations (< 4 × 10⁻⁶ m s⁻², Figure 11 dotted ellipse), implying that $\bar{\zeta}/f$ is pre-668 dominantly a function of V. The subtidal orbital excursion amplitude $V_0/\omega_{\rm st} \approx 5000$ m, 669 greater than the separation between the west and south locations ($L_{W,S} \approx 1200 \text{ m}$). 670 At subtidal periods, the $\bar{\zeta}/f = 0$ slope for $\alpha = (1/7200) \text{ s}^{-1}$ is crossed in $t_{\alpha} = 2$ h, 671 allowing only $L_{\alpha} \approx 75$ m of recirculated vorticity prior to the V sign switch, substan-672 tially less than $L_{\rm W,S} \approx 1200$ m. For $\alpha = (1/3600) \, {\rm s}^{-1}$ the vorticity adjustment time-673 scale $t_{\alpha} \approx 1$ h, and the recirculation distance $L_{\alpha} = 19$ m is even shorter. Subtidal 674 velocity variability is often red, and using subtidal periods longer than 72 h in (12) and 675 (13) results in even shorter recirculation distances. 676

For semidiurnal oscillatory flow, the accelerations are much stronger, up to $1.7 \times 10^{-5} \text{ m s}^{-2}$, resulting in $\bar{\zeta}/f$ that depends on both V and $\partial V/\partial t$ (Figure 11, solid ellipse). The semidiurnal orbital excursion amplitude $V_0/\omega_{sd} \approx 850$ m is less than $L_{W,S} \approx 1200$ m. For $\alpha = (1/7200) \text{ s}^{-1}$, the semidiurnal vorticity adjustment time-scale $t_{\alpha} = 1.5$ h, with $L_{\alpha} = 250$ m of recirculation. For semidiurnal flow and $\alpha = (1/3600) \text{ s}^{-1}$, the recirculation distance is even smaller $t_{\alpha} = 1$ h and $L_{\alpha} = 92$ m.

The recirculation distances for subtidal $(L_{\alpha} = 75 \text{ m})$ and semidiurnal $(L_{\alpha} = 250 \text{ m})$ 683 are small relative to the ≈ 1200 separation between W and S centroid locations. In a time-varying paradigm, vorticity switching sign before a water parcel could advect a dis-685 tance $L_{W,S}$ (Figure 11) suggests consistent headland vorticity generation for both north-686 ward and southward flow even at semidiurnal time-scales. This is consistent with the steady-687 flow paradigm of inferred PV generation for northward flow (red in Figure 12) and for 688 stronger southward flow (blue diamonds). However, for southward flow, the steady-flow 689 paradigm only suggested PV generation for strong southward flow (blue diamonds in Fig-690 ure 12). This difference may reflect a limitation of the assumptions of steady flow on a 691 streamline in the potential vorticity analysis (Section 5). Flow variability at Pt. Sal is 692 dominated by semidiurnal and lower frequency variability. Although the actual $(V, \partial V/\partial t)$ 693 phase space path involves a range of time-scales, all semidiurnal and longer time-scales 694 will give $L_{\alpha} < L_{W,S}$. As a vorticity adjustment time-scale is evident at the south lo-695 cation for $|\partial V_{\rm S}/\partial t| > 0.3 \times 10^{-5} {\rm m s}^{-2}$, these conclusions apply to any time-scale present 696 in the flow with sufficient acceleration magnitude. 697

698

6.2 Dimensional and non-dimensional parameter space

Here, we contextualize Pt. Sal relative to other observed headland and island wakes in both dimensional and non-dimensional parameter space. Pt. Sal has characteristic lengthscale $L \sim 1$ km (Figure 1) consistent with TTP (*e.g.*, MacCready & Pawlak, 2001) and the Zandmotor (Radermacher et al., 2017), but considerably smaller than Velasco Reef,

Palau $L \sim 10$ km (MacKinnon et al., 2019). Note, the Zandmotor is a low sloped (low 703 aspect ratio) feature, in contrast to the sharp (high aspect ratio) features of Pt. Sal, TTP, 704 and Velasco Reef. The Pt. Sal characteristic depth $h \sim 20$ m is similar to the Zand-705 motor $(h \sim 10 \text{ m})$, but much shallower than the 200 m and 600 m depths of TTP and 706 Velasco Reef (MacCready & Pawlak, 2001; MacKinnon et al., 2019). The Coriolis pa-707 rameter $f = 8.3 \times 10^{-5} \text{ s}^{-1}$ is characteristic of mid-latitudes, but is four times larger 708 than that for the near-equatorial Palau ($f = 2.1 \times 10^{-5} \text{ s}^{-1}$). The Pt. Sal principal 709 axes currents are broadband (Figure 6b) similar to Velasco Reef (MacKinnon et al., 2019) 710 contrasting with the primarily tidal flow of TTP and Zandmotor. Based on the variance 711 in each of the semidiurnal and subtidal bands, the velocity scale is $U\sim 0.12~{\rm m\,s^{-1}}$ for 712 each and a total of $U \sim 0.2 \text{ m s}^{-1}$. This is similar to TTP ($U_0 \sim 0.2 \text{ m s}^{-1}$), weaker 713 than the semidiurnal tidal velocity at Velasco Reef ($U_0 \sim 0.4 \text{ m s}^{-1}$), and substantially 714 weaker than the Zandmotor ($U_0 \sim 0.7 \text{ m s}^{-1}$). The sea-bed near Pt. Sal is generally 715 composed of medium grain sand, and a bulk quadratic drag coefficient $C_D = 2 \times 10^{-3}$ 716 is used for depth-averaged flow. This embeds the surface gravity wave enhanced bottom 717 stress within C_D (Feddersen et al., 2000; Lentz et al., 2018). Note that near Pt. Sal, the 718 bed is rocky reef with large roughness. The semidiurnal (12.42 h) radian frequency $\omega_{\rm sd} \approx$ 719 1.4×10^{-4} s⁻¹. The subtidal time-scale is broadband but here as above we ascribe a 720 72 h subtidal radian frequency $\omega_{\rm st} = 2.4 \times 10^{-5} \, {\rm s}^{-1}$. 721

In terms of non-dimensional parameters, we estimate the Pt. Sal Rossby number 722 (Ro = U/fL) with the full $U \sim 0.2 \text{ m s}^{-1}$ resulting in Ro ~ 2.4 , a value near Velasco 723 Reef and TTP (Ro ~ 0.9 , Ro ~ 2 , respectively MacKinnon et al., 2019; Canals et al., 724 2009), and smaller than Zandmotor Ro ~ 6.1 (Radermacher et al., 2017). Note, the Ve-725 lasco Reef near-one Ro is due to both the much larger L and a smaller f than Pt. Sal. 726 The Pt. Sal frictional Reynolds number ($\operatorname{Re}_{f} = h/C_{D}L$) is estimated as $\operatorname{Re}_{f} \sim 10$, which, 727 as TTP and Velasco reef are in deep water, can only be compared to Zandmotor at $\mathrm{Re_f} \sim$ 728 5. As the flow has multiple time-scales (*i.e.*, broadband), estimating the ratio of flow ex-729 cursion to headland length scale $K_c = U_0/(\omega L)$ is challenging. Here we use the spring-730 tide $U_0 = 0.12 \text{ m s}^{-1}$ and $\omega_{\rm sd}$ to estimate a semi-diurnal $K_c^{(\rm sd)} \sim 0.85$, indicating that 731 that vorticity can be weakly recirculated over a tidal cycle. The Pt. Sal $K_c^{(sd)}$ is substan-732 tially smaller than the $K_c^{\text{(sd)}} \sim 5.0$ of the Zandmotor, but similar to $K_c^{\text{(sd)}} = 1.4$ of TTP, 733 and substantially larger than the $K_c^{(sd)} = 0.14$ of Velasco Reef. The Pt. Sal $K_c^{(sd)}$ re-734 sults in $\text{Re}_{f}/K_{c}^{\text{(sd)}} \sim 12$ suggesting that the vorticity decay time-scale is longer than a 735 semidiurnal period. As the vorticity adjustment time-scale $t_{\alpha} < 2$ h (Section 6.1, Fig-736 ure 11), this further suggests that vorticity generation at the headland is dominant. In 737 contrast, the Zandmotor $\operatorname{Re}_{f}/K_{c}^{(\mathrm{sd})} \sim 1$ consistent with the headland eddy decaying within 738 a tidal time-scale (Radermacher et al., 2017). 739

Here, we have examined depth-averaged vorticity and flow at Pt. Sal and neglected 740 stratification effects. In other headland vorticity generation regions, stratification is im-741 portant. The Pt. Sal time-average buoyancy frequency $N \sim 0.016 \text{ s}^{-1}$, estimated from 742 the mean top-to-bottom temperature differences at the thermistor moorings near Pt. Sal, 743 and the local deformation radius $L_d \sim 3.8$ km leads to a Burger number of $L_d/L \sim$ 744 3.8. The buoyancy frequency (stratification) at TTP ($N \sim 0.01 \text{ s}^{-1}$) and Velasco Reef 745 $(N \sim 0.02 \text{ s}^{-1})$ are similar, leading to much larger Burger number at TTP L_d/L 746 18 and Velasco Reef $L_d/L = 50$. Thus, the vorticity generated at Pt. Sal will adjust 747 to geostrophy more rapidly than at TTP and Velasco Reef. Note, no stratification was 748 reported for the ZandMotor. At both TTP and Velasco Reef, the Froude number regime 749 allows for both internal lee waves as well as vorticity generation (Warner & MacCready, 750 2014; Voet et al., 2020). For flow traveling past Pt. Sal, no coherent obstacle is present 751 (Figure 3), that would allow for lee wave generation even with the strong stratification. 752

753 7 Summary

As part of the Inner Shelf Dynamics Experiment (Kumar et al., 2020), two months 754 of fixed ADCP velocity measurements in ~ 20 m depth near the asymmetric headland 755 Pt. Sal CA are used to investigate headland vorticity generation and recirculation. Pt. Sal 756 is a sharp (120° bend) rocky headland with scale of ~ 1 km. To reduce vorticity esti-757 mation noise, ADCP velocities were low-pass filtered with a 2 h time-scale and were re-758 constructed from the first two EOF modes that represented $\approx 73\%$ of the variance. Depth-759 averaged vorticity was estimated at two locations west and south of Pt. Sal from the smoothed 760 reconstructed velocities of groups of fixed ADCPs. Only one west-location vorticity com-761 ponent was estimated, leading to negative vorticity bias for northward flow. Vorticity 762 was also estimated from multiple parallel vessel transects on a single day. The observed 763 depth-averaged flow principal axes velocity V was primarily along-bathymetric contours 764 and varied largely between $\pm 0.2 \text{ m s}^{-1}$ across subtidal, diurnal, and semidiurnal frequency 765 bands. At west and south locations, the V was well correlated at r = 0.86. The south 766 location vorticity is consistent with vorticity estimated from parallel vessel transects on 767 a single day. The vorticity variability was vertically coherent and primarily depth-uniform. 768

Vertical vorticity kinematics and dynamics were studied with the depth averaged 769 vorticity $\bar{\zeta}/f$. At west and south locations, the depth-averaged normalized vorticity $\bar{\zeta}/f$ 770 varied ± 8 across subtidal, diurnal, semidiurnal, and supertidal frequency bands, had more 771 high frequency variability than V, and was less correlated (r = 0.55) than for V. The 772 vorticity distributions are skewed with opposite sign at west and south locations. Neg-773 ative PV $(\bar{\zeta}/f < -1)$ is more likely at both locations than open ocean suggesting strong 774 vorticity generation. At west and south locations, $\bar{\zeta}/f$ and V were related, but asym-775 metrically with sign of V, indicating vorticity generation at the headland or farther up-776 stream. Analysis within both steady flow and time-varying flow paradigms indicates asym-777 metric vorticity generation across the headland. Binned-mean $\overline{\zeta}/f$ depends on both V 778 and $\partial V/\partial t$, and indicates vorticity recirculation across the headland as V switches sign. 779 The time-scale for vorticity adjustment is ~ 2 h, and the associated short excursion dis-780 tances indicate generation between south and west locations, with stronger generation 781 at west location for the transition to northward flow. For quasi-steady flow, the south 782 and west potential vorticity relationship indicates asymmetric vorticity generation be-783 tween the south and west locations, with stronger vorticity generation for northward flow. The inferred asymmetric vorticity generation for northward flow is consistent with $\zeta/f < 1$ 785 -1 more likely at the west location than south location. Pt. Sal occupies a portion of 786 non-dimensional parameter space that is unique relative to other well studied headlands. 787

Appendix A Vorticity estimation bias at west location

As $\zeta_{\rm W}$ was estimated from two ADCPs, only one component of vertical vorticity 789 $\partial \tilde{v}/\partial \tilde{x}$ was calculated and $\partial \tilde{u}/\partial \tilde{y}$ was neglected, where (\tilde{y}, \tilde{v}) represents the principal axes 790 direction and flow magnitude, respectively (Section 2.2.2). In Sections 4 and 5, $\overline{\zeta}_{\rm W}$ is an-791 alyzed in the context of headland generation or unsteady-flow induced recirculation. How-792 ever, these results could instead be due to noise and bias in the $\zeta_{\rm W}$ estimation method. 793 Here, potential biases in west location vorticity estimates are qualitatively examined us-794 ing characteristic examples southward and northward flow (Figure A1) and implications 795 for results are discussed. 796

First consider southward flow from 13-Sept-2017 12:00PDT (Figure A1a), one hour after the vessel survey concluded (Figure 9). South ADCP (green squares) depth-averaged velocities bend (or rotate) south to south east while velocity decreases from 9 cm s^{-1} to



Figure A1. Depth-averaged velocity examples near Pt. Sal to illustrate west location vorticity bias: (a) Southward flow on 13-Sept-2017 12:00 PDT with $\bar{\zeta}_W/f = 1.23$ and $\bar{\zeta}_S/f = 1.91$ and (b) Northward flow on 28-Sept-2017 12:00 PDT with $\bar{\zeta}_W/f = -3.99$ and $\bar{\zeta}_S/f = -2.60$. Squares represent ADCP locations and black arrows represent depth-averaged velocities. Stars are vorticity estimation locations and the red line gives the orientation of the fit-velocity principal axes. Gray and green markers represent west and south ADCPs and vorticity estimation locations, respectively (see also Figure 3). The solid and dashed lines represent the 15, 20, and 25 m depth contours.

 5 cm s^{-1} with decreasing depth, giving a sense of positive vorticity. Both components 800 of vorticity $(\partial \tilde{v}/\partial \tilde{x} \text{ and } \partial \tilde{u}/\partial \tilde{y})$ are important to the estimated $\bar{\zeta}_{S}/f = 1.91$. The west 801 ADCPs (gray squares in Figure A1a) have a southward, roughly along-isobath, $7-9 \text{ cm s}^{-1}$ 802 depth-averaged flow in the principal axes direction with larger magnitude at the offshore 803 location, suggesting positive vorticity. The west estimated $\zeta_W/f = 1.23$ using only $\partial \tilde{v}/\partial \tilde{x}$ 804 (Section 2.2.2). At this time, the west velocities perpendicular to the principal axes di-805 rection are weak with ADCP averaged $\tilde{u} = 0.4 \text{ cm s}^{-1}$, much smaller than character-806 istic \tilde{v} . An ADCP farther upstream (north) in the principal axes direction would likely 807 have near-zero depth-averaged onshore flow (*i.e.*, $\tilde{u} \approx 0$) due to the coastline bound-808 ary. This would on average lead to $|\partial \tilde{u}/\partial \tilde{y}| \ll |\partial \tilde{v}/\partial \tilde{x}|$ for southward flow. Thus, $\bar{\zeta}_{W}$ 809 may have unbiased error that the statistical analysis of Sections 4 and 5 reduces, we ar-810 gue that the bias is weak for southward flow. 811

The northward flow example (Figure A1b) suggests potential northward flow bias 812 in the west location vorticity due to west location cross-principal axis flow. At the south 813 ADCP (green squares), the flow is to the NW at $13-23 \text{ cm s}^{-1}$ in the principal axes di-814 rection (red line at green star). The depth-averaged flow variation parallel and perpen-815 dicular to the principal axes direction both suggest negative vorticity, and the estimated 816 $\bar{\zeta}_{\rm S}/f = -2.60$ using both components of vorticity. At the west location (gray squares 817 in Figure A1b), the depth-averaged flow is also NW at $9-13 \text{ cm s}^{-1}$ with faster flow off-818 shore. However, the depth-averaged velocities are not aligned with the principal axis di-819

rection (red line at gray star), with the ratio of cross-axis to along-axis velocity \tilde{u}/\tilde{v} ra-820 tio of 0.75 and 0.43 at shallow and deeper west ADCP locations, respectively. The $\partial \tilde{v} / \partial \tilde{x}$ 821 estimated $\zeta_{\rm W}/f = -3.99$ is larger than the $\zeta_{\rm S}/f$ estimate, suggesting vorticity gener-822 ation, but is likely biased by not including $\partial \tilde{u}/\partial \tilde{y}$. To constrain the sign of the bias, con-823 sider an ADCP farther to the north in the lee of Pt. Sal along the principal axis direc-824 tion. This ADCP would likely have $\tilde{u} \approx 0$ as depth-averaged onshore flow is limited by 825 the boundary (as for southward flow) and $\partial \tilde{u}/\partial \tilde{y}$ would be positive. Thus, the true vor-826 ticity $\zeta_{\rm W} = \partial \tilde{v} / \partial \tilde{x} - \partial \tilde{u} / \partial \tilde{y}$ would be even more negative. In this case, if west ADCP 827 $\tilde{u}/\tilde{v} = 0.5$ and $\partial \tilde{y} = \partial \tilde{x} = 280$ m, then $\bar{\zeta}_W/f \approx -6$. For northward flow, we qualita-828 tively argue that the $\bar{\zeta}_{\rm W}$ estimate is positively biased, and that the true west vorticity 829 is even more negative than estimated. Thus, the inference of strong potential vorticity 830 generation for northward flow (Section 5) is likely accurate but the generation rate is un-831 derestimated. 832

Acknowledgments

This work was sponsored by the U.S. Office of Naval Research (ONR) in an ONR De-834 partmental Research Initiative (DRI), Inner-Shelf Dynamics Experiment (ISDE). We thank 835 Dr. Reginald Beach for supporting all the initiatives undertaken as a part of this project. 836 We thank Colonel Moss, Commander, 30 SW/CC (2017), Jennifer Tribble, and the op-837 erations staff at VAFB for coordinating aircraft access and assisting in recovery of in-838 struments. Jim Thomson drove the RV Sounder transects and graciously provided us 839 with the data. Thank you. We thank Bill Boyd, Greg Boyd, Rob Grenzeback, Lucian 840 Parry, Brett Pickering, Greg Sinnett, Kent Smith, Brian Woodward who assisted with 841 the nearshore Point Sal fieldwork. We thank Luc Lenain, Nick Statom, and W. K. Melville 842 for providing the LWIR image in Figure 1. Sutara (Ata) Suanda, Matt Spydell, Amy Wa-843 terhouse, André Palóczy, Sean Celona, and Jen MacKinnon provided valuable feedback 844 on this work. We also thank the two anonymous reviewers whose comments significantly 845 improved the manuscript. Data from the ISDE is being archived at the UC San Diego 846 Library Digital Collects and a DOI will be available upon revision. Data discussed here 847 can be immediately accessed by emailing Dr. Falk Feddersen. 848

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